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PATENT  
Attorney Docket No.: KOIKE-01500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:	)	Group Art Unit: not yet available
Motonobu Kourogi et al.	)	Examiner: not yet available
Serial No.: 10/579,262	)	<b><u>TRANSMITTAL LETTER</u></b>
Filed: May 10, 2006	)	162 N. Wolfe Road
For: <b>OPTICAL FREQUENCY COMB GENERATOR AND OPTICAL MODULATOR</b>	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No.: 28960

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find an Information Disclosure Statement and Form PTO-1449 including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 CFR § 1.901)  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

- 1 -

HAVERSTOCK & OWENS LLP  
Date: 7/24/06 By: [Signature]

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	)	<b><u>STATEMENT</u></b>
	)	
Filed: May 10, 2006	)	
	)	162 N. Wolfe Road
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<b>GENERATOR AND OPTICAL</b>	)	(408) 530-9700
<b>MODULATOR</b>	)	
	)	Customer No.: 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- JP 8-166610 A (The Kanagawa Academy of Science, Takanori SAITO) 25 June, 1996 (25.06.96);
- JP 11-183858 A (Sumitomo Osaka Cement Co., Ltd.) 09 July, 1999 (09.07.99);
- JP 2003-228033 A (Sumitomo Osaka Cement Co., Ltd.), 15 August, 2003 (15.08.03);
- JP 5-323265 (Nippon Telegraph and Telephone Corp.), 07 December 1993 (07.12.93);
- JP 7-159820 A (Nippon Telegraph and Telephone Corp.), 23 June, 1995 (23.05.02); and
- JP 2002-156669 (Mitsui Chemicals, Inc.), 31 May, 2002 (31.05.02).

This Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: July 24, 2006

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicants

CERTIFICATE OF MAILING (37 C.F.R. § 1.8(a))  
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HAVERSTOCK & OWENS LLP.

Date: 7/24/06 By: N. Haverstock

FORM PTO-1449  
(Modified)

JUL 27 2006

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: KOIKE-01500

Serial No.: 10/579,262

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use Several Sheets If Necessary)

Applicants: Motonobu Kourogi et al.

(37 CFR § 1.98(b))

Filing Date: May 10, 2006

Group Art Unit: Not Yet  
Available

## U.S. PATENT DOCUMENTS

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						

## FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AN	JP 8-166610	25.06.96	Japan	G02B	6/293		X
	AO	JP 11-183858	07.09.99	Japan	G02F	1/035		X
	AP	JP 2003-228033	15.05.03	Japan	G02F	1/03		X
	AQ	JP 5-323265	12.07.93	Japan	G02B	27/28		X
	AR	JP 7-159820	06.23.95	Japan	G02F	2/02		X
	AS	JP 2002-156669	31.05.02	Japan	G02F	1/37		X
	AT							X
	AU							X
	AW							X
	AX							X

## OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)

	AY	
	AZ	
	BA	
	BB	
	BC	

Examiner:

Date Considered:

EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.